

DDS RETINOL

TECHNICAL DOSSIER

Name: DDS RETINOL

Ref.: DDS RETINOL_03

Ver.: 04 (09/11/2020)



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PRODUCT IDENTIFICATION

Tradename: DDS RETINOL (Previous name: Lipo-RETINOL)

Reference: DDS RETINOL_03

Description: Retinyl palmitate (3 %) encapsulated in Deep release nano-vesicles (DDS – Deep Delivery System) to add in cosmetic, cosmeceutical or dermo pharmaceutical formulations.

INCI

AQUA, MANNITOL, PHOSPHATIDILCOLINE, GLYCERIN, RETINYL PALMITATE, CHOLESTEROL, POLYSORBATE 80, SODIUM BENZOATE, POTASSIUM SORBATE, XANTHAN GUM, SODIUM CHLORIDE

COMPOSITION

| Ingredient | CAS | % (w/w) |
|--------------------|------------|-------------|
| AQUA | 7732-18-5 | Q.S. 100 |
| MANNITOL | 69-65-8 | 4.50 - 6.50 |
| PHOSPHATIDILCOLINE | 8002-43-5 | 3.20 - 5.20 |
| GLYCERIN | 56-81-5 | 2.60 - 3.60 |
| RETINYL PALMITATE | 79-81-2 | 2.50 - 3.50 |
| CHOLESTEROL | 57-88-5 | 1.10 - 3.10 |
| POLYSORBATE 80 | 9005-65-6 | 0.50 - 1.50 |
| SODIUM BENZOATE | 532-32-1 | 0.50 - 0.70 |
| POTASSIUM SORBATE | 24634-61-5 | 0.50 - 0.70 |
| XANTHAN GUM | 11138-66-2 | 0.10 - 0.30 |
| SODIUM CHLORIDE | 7647-14-5 | 0.05 - 0.15 |



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ORIGIN

| Ingredient | Origin | Country of origin |
|--------------------|---------------|-------------------------------|
| AQUA | MINERAL | SPAIN |
| MANNITOL | VEGETABLE | WORLDWIDE |
| PHOSPHATIDILCOLINE | VEGETABLE | WORLDWIDE |
| GLYCERIN | VEGETABLE | SOUTH EAST ASIA AND EUROPE |
| RETINYL PALMITATE | SYNTHETIC | SWITZERLAND |
| CHOLESTEROL | ANIMAL | SINGAPORE |
| POLYSORBATE 80 | SYNTHETIC | EUROPEAN UNION |
| SODIUM BENZOATE | SYNTHETIC | NETHERLANDS |
| POTASSIUM SORBATE | SYNTHETIC | GERMANY |
| XANTHAN GUM | BIOTECHNOLOGY | EUROPE |
| SODIUM CHLORIDE | SYNTHETIC | NETHERLANDS OR FRANCE |

SPECIFICATIONS

Appearance: LIQUID

Color: PALE YELLOW
Odor: CHARACTERISTIC

pH: 5.0 - 6.0

COUNTRY OF MANUFACTURE

Spain

EXPIRATION AND STORAGE

12 months if the product is kept in the original sealed container

The product has been packed in a protective nitrogen atmosphere. Once opened, it is recommended to use all the product at once or repackage the excess using nitrogen.

Store in a clean, dark and cool place (8 - 25°).

Do not freeze



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RECOMMENDED DOSE

1% - 10% According to the frequency of application of the final product and the intensity of the effect that you want to achieve.

Examples: Daily cream: 3% – 5 % Serum: 4% – 8% Mask: 3% - 7% Ampoules: 5% - 10%

Professional use: 7% - 10%

DISPERSABILITY

Dispersible product in aqueous media. (See incompatibilities)

HOW TO USE

Shake before using

Add to bulk during the final phase of the production process, ensuring that the temperature does not exceed 40°C to avoid degradation of the encapsulated molecules. If you need to add it to higher temperatures, please consult our technical service

Maximum homogenization: 20.000 rpm

Formulation pH: 3 – 11

INCOMPATIBILITIES

Ethanol concentrations higher than 15% may damage liposomes (contact our technical service for advice)

Detergents may break liposomes.

Do not add to oil. In emulsions W/O or O/W add it in the aqueous solution.

ISO 16128-1:2016 and 16128-2:2017

In accordance with the guidelines on definitions of natural and organic cosmetic ingredients (ISO 16128-1: 2016 and ISO 16 128-2: 2017), we certify according to the information provided by our suppliers and our production process, the following information

Naturally origin content: 94.50 % Natural content: 88.79 %



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TESTS ON ANIMALS

This product has not been tested on animals by or on behalf of INdermal - Nanovex Biotechnologies SL, in accordance with European Regulation (EC) No. 1223/2009

HEAVY METALS

Max. 0.5 ppm

REACH

| Ingredient | REACH |
|--------------------|-----------------------------------|
| AQUA | Exempt (Ocurring in nature) |
| MANNITOL | Exempt (Annex IV Article 2(7)(a)) |
| PHOSPHATIDILCOLINE | Exempt (Annex IV Article 2(7)(a)) |
| GLYCERIN | Exempt (Annex V Article 2(7)(b)) |
| RETINYL PALMITATE | 01-2119480425-37-XXXX |
| CHOLESTEROL | 01-2119976283-30-XXXX |
| POLYSORBATE 80 | Exempt (< 1 tonne/year) |
| SODIUM BENZOATE | 01-2119460683-35-XXXX |
| POTASSIUM SORBATE | 01-2119950315-41-XXXX |
| XANTHAN GUM | Exempt (Article 2 (9)) |
| SODIUM CHLORIDE | 01-2119485491-33-XXXX |



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INGREDIENTS STATEMENTS*

| Cosmetic allergens | This product does not contain any of the substances introduced in Annex III of the European Cosmetic Regulation by the Seventh Amendment (EC) No. 2003/15 based on SCCNFP opinion. |
|--------------------|--|
| GMO | According to our knowledge, this product does not contain any ingredient considered a genetically modified organism. |
| CMR | According to our knowledge, this product does not contain any ingredient classified as carcinogenic, mutagenic or reprotoxic according to Regulation (EC) No. 1272/2008. |
| SVHC | According to our knowledge, this product does not contain any substance considered extremely worrying. |
| cov | According to our knowledge, this product does not contain any compound considered volatile organic |
| Ftalatos | This product does not contain phthalates as an ingredient |
| Nanomaterials | This product cannot be considered a nanomaterial as defined in Regulation (EC) No. 1223/2009 |
| Formaldehyde | This product does not contain formaldehydes or derivatives |
| Palm Oil | This product contain palm (RSPO certified) |
| BSE / TSE | According to our knowledge, this product does not contain any compound related to Bovine Spongiform Encephalopathy or Transmissible Spongiform Encephalopathies |
| Dioxins | This product is not expected to contain Dioxins |
| Pesticides | This product is not expected to contain Pesticides |
| Vegetarian / Vegan | This product is not suitable for vegetarians and vegans. |
| Halal | This product does not have Halal certification |
| COSMOS certified | This product does not have COSMOS certification |

^{*} Information based on our manufacturing process and information provided by our suppliers



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REGULATIONS

| Geographical Area | Regulation |
|----------------------|--|
| European Union | This product is in accordance with Regulation (EC) No. 1223/2009 |
| USA | None of the compounds of this product are prohibited or restricted by FDA regulations. |
| Canada | Retinyl palmitate is included in the Cosmetic Ingredient Hotlist of Canada (2019) of Ingredients that are Restricted for Use un Cosmetic Products. The maximum concentration permitted in finale product is 1.83 % (w/w) |
| China | All INCI names are included in the last version of IECIC list (2015) |

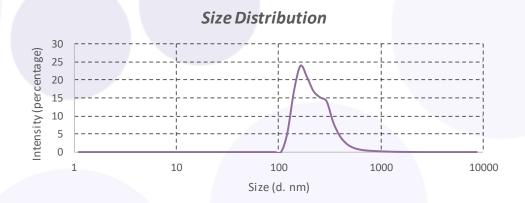
CHARACTERIZATION

Product characterization is based on the particle analysis using nanotechnology characterization techniques such as; Dynamic Light Scattering, Nanoparticle Track Analysis or Phase analysis Light Scattering. The main properties analyzed are; Average Size, Size Distribution, Zeta-Potential and Particle concentration.

Average size: Average Size = 262 nm ± 40 nm

Average size of nano-vesicles can be determined using Dynamic Light Scattering (DLS) and Nanoparticle Track Analysis (NTA) techniques. Both are based on the Brownian motion of the particle and the light scattering from it. They apply Stokes-Einstein equation to relate diffusion to size (Hydrodynamic diameter). Additionally, DLS offers an ensemble measurement, whereas NTA delivers a particle-by-particle measurement.

Measurement data by DLS



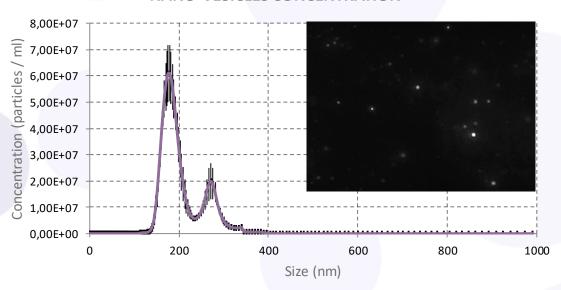




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NANO-VESICLES CONCENTRATION



Measurement data by NTA and video capture of Nano-vesicles.

Size distribution: Polydispersity Index (PDI) = 0.242 ± 0.025

Size distribution was determined using the DLS technique. The distribution is represented by the polydispersity index PDI, whose values are in the range between 0 and 1. Values near 0 represent a monodispersed sample and values near 1 represent polydisperse sample. It can be considered that values below 0.5 have good distribution values.

Z-Potential: ζ -Potential = -4.42 mV \pm 0.1 mV

For Zeta-potential analysis, an electric field is applied to a dispersion of particles, which then move with a velocity related to their zeta potential. This velocity is measured using a laser interferometric technique called M3-PALS (Phase analysis Light Scattering). This enables the calculation of electrophoretic mobility, and from this, the Zeta-potential.

<u>Liposomes concentration (particles/ml)</u>: 2.43 x 10¹⁴ nanovesicles/ml ± 0.1 x 10¹⁴ nanovesicles/ml

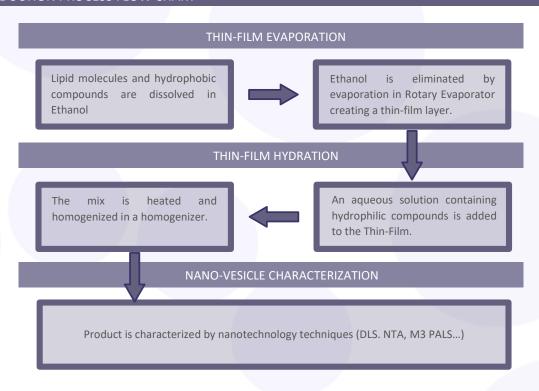
Nanovesicle concentration can be determined by Nanoparticle Tracking Analysis (NTA) as it is a nanoparticle visualization technique that provides size, count and concentration measurements.



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